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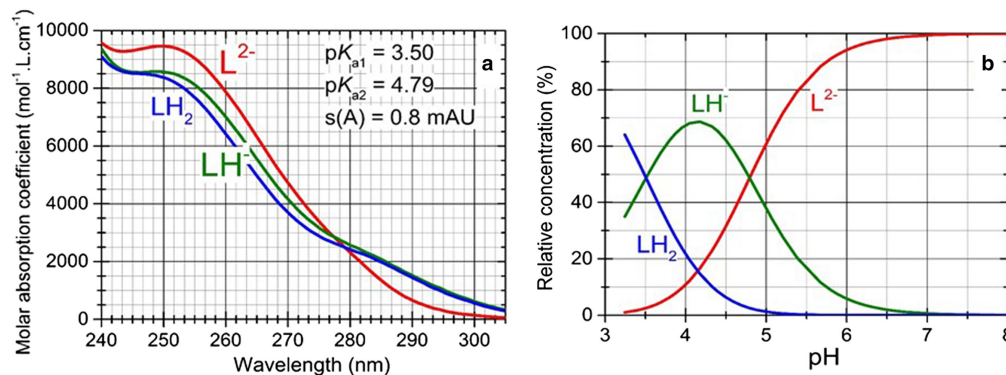
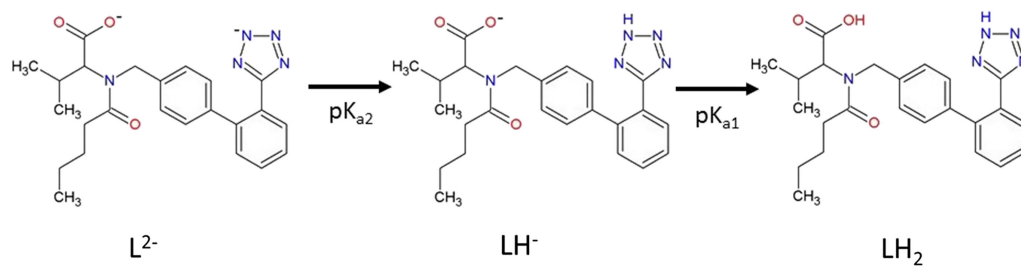
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Abstract:

Valsartan is used for treating high blood pressure, congestive heart failure and to increase the chances of living longer after a heart attack and to reduce the mortality rate for people with left ventricular dysfunction following a heart attack. Regression analysis of the pH-spectra with REACTLAB and of the pH-titration curve with ESAB determined two close consecutive dissociation constants. MARVIN and ACD/Percepta predicted two protonation sites. In water a soluble anion L^{2-} forms two sparingly soluble species LH^- , LH_2 . Although adjusted pH less affected the spectral changes in the chromophore, $pK_{a1}^T = 3.70 \pm 0.12$, $pK_{a2}^T = 4.82 \pm 0.08$ at 25 °C and $pK_{a1}^T = 3.44 \pm 0.08$, $pK_{a2}^T = 4.67 \pm 0.02$ at 37 °C in an aqueous phosphate buffer, were determined by regression analysis of potentiometric pH-titration curves and $pK_{a1}^T = 3.51 \pm 0.01$, $pK_{a2}^T = 4.63 \pm 0.01$, at 25 °C and $pK_{a1}^T = 3.44 \pm 0.03$, $pK_{a2}^T = 4.51 \pm 0.03$ at 37 °C in an aqueous medium were estimated. Positive enthalpy values $\Delta H^0(pK_{a1}) = 10.33 \text{ kJ}\cdot\text{mol}^{-1}$, $\Delta H^0(pK_{a2}) = 17.70 \text{ kJ}\cdot\text{mol}^{-1}$ showed that the dissociation process was endothermic. The standard state Gibbs free energy changes were $\Delta G^0(pK_{a1}) = 20.03 \text{ kJ}\cdot\text{mol}^{-1}$, $\Delta G^0(pK_{a2}) = 26.43 \text{ kJ}\cdot\text{mol}^{-1}$ at 25 °C and the ΔS^0 at 25 °C and 37 °C were ($\Delta S^0(pK_{a1}) = -32.56 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$, $\Delta S^0(pK_{a2}) = -29.26 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ at 25 °C and $\Delta S^0(pK_{a1}) = -30.01 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$, $\Delta S^0(pK_{a2}) = -25.92 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ at 37 °C.

Graphic Abstract:

Valsartan is for treatment of hypertension, cardiac insufficiency, myocardial infarction, and diabetic nephropathy.



Keywords (separated by '-') Dissociation constants - Valsartan - Spectrophotometric titration - pH-titration - REACTLAB - SQUAD84 - ESAB

Footnote Information **Electronic supplementary material** The online version of this article (<https://doi.org/10.1007/s10953-019-00913-y>) contains supplementary material, which is available to authorized users.



1 Method of UV-Metric and pH-Metric Determination 2 of Dissociation Constants of Ionizable Drugs: Valsartan

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6 Abstract

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9 ple with left ventricular dysfunction following a heart attack. Regression analysis of the
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12 two protonation sites. In water a soluble anion L^{2-} forms two sparingly soluble species
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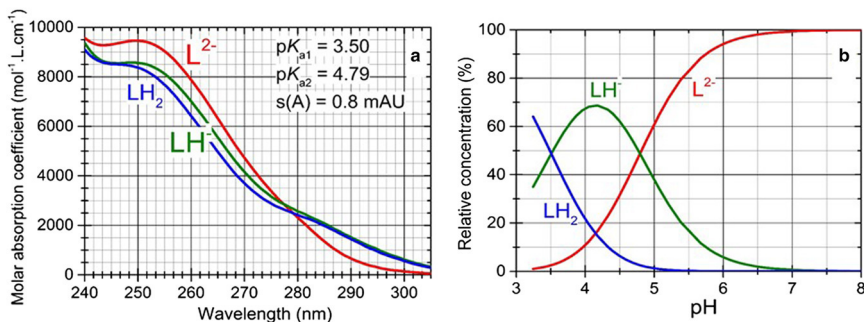
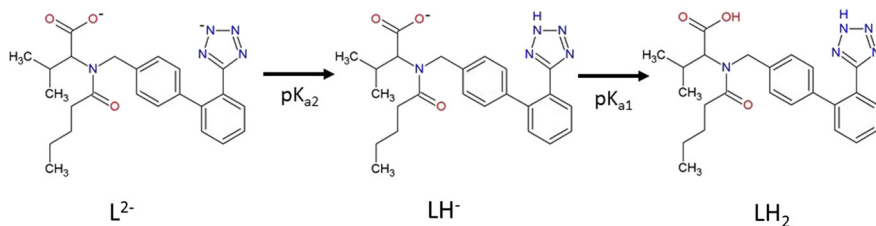
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23 Graphic Abstract

24

Valsartan is for treatment of hypertension, cardiac insufficiency, myocardial infarction, and diabetic nephropathy.



25

26 **Keywords** Dissociation constants · Valsartan · Spectrophotometric titration · pH-titration ·
 27 REACTLAB · SQUAD84 · ESAB

28 **1 Introduction**

29 Angiotensin II receptor blockers (ARB), also known as sartans, represent an important
 30 class of drugs used in the treatment of hypertension, cardiac insufficiency, myocardial
 31 infarction, and diabetic nephropathy [1]. Valsartan (trade name Diovan, Novartis Inter-
 32 national AG) is mainly used for treating high blood pressure, congestive heart failure,
 33 and to increase the chances of living longer after a heart attack [1, 2]. It is an angioten-
 34 sin II receptor antagonist, commonly called an ARB, or angiotensin receptor blocker,
 35 that is selective for the type I (AT_1) angiotensin receptor [3]. Valsartan is also used to
 36 reduce the mortality rate for people with left ventricular dysfunction following a heart
 37 attack [4]. In people with type II diabetes and high blood pressure or albumin in the
 38 urine, Valsartan is used to slow the development and worsening of end-stage kidney dis-
 39 ease [5]. Valsartan blocks the actions of angiotensin II, which include constricting blood
 40 vessels and activating aldosterone, to reduce blood pressure [6].

41 Its IUPAC name and formula are (*S*)-3-methyl-2-(*N*-[2'-(2H-1,2,3,4-tetrazol-5-yl)
 42 biphenyl-4-yl]methyl]pentan ami-do)butanoic acid and $C_{24}H_{29}N_5O_3$; it has a molar mass
 43 $435,528 \text{ g} \cdot \text{mol}^{-1}$, a melting point of $116\text{--}117 \text{ }^\circ\text{C}$, a solubility in water of $1.406 \text{ mg} \cdot \text{L}^{-1}$
 44 at $25 \text{ }^\circ\text{C}$. It is soluble in ethanol and methanol ($23.4 \text{ mg} \cdot \text{L}^{-1}$). Valsartan is a diprotic
 45 acid with a carboxylic acid group and a tetrazole ring (Fig. 1). Grujić et al. [1]

46 potentiometrically determined the pK_a values of the examined sartans: pK_{a1} 3.88 and
47 pK_{a2} 4.55 for Irbesartan; pK_{a1} 3.27 and pK_{a2} 4.60 for Losartan; and pK_{a1} 3.79 (imida-
48 zol) and pK_{a2} 4.55 (tetrazol) for Valsartan. The similar values of the two consecutive
49 ionization constants point out to an overlapped protolytic equilibrium, which signifi-
50 cantly complicates attribution of the pK_a values to the corresponding ionizable centers. **AQ1**

51 Knowledge of the pK_a values of drugs is required to perform tests of biopharmaceutical
52 characterization, and in developing new pharmaceutical formulations or improving the
53 available ones [1]. Defining the ionization profile of drugs is particularly significant for
54 prediction of their behavior under physiological conditions where the ionization state
55 strongly affects the solubility of drugs at the application site and their ability to diffuse
56 through biological membranes [7].

57 One of the most important physico-chemical characteristics of every drug is its pK_a
58 value. Defining the ionization profile of drugs is particularly significant for prediction
59 of their behavior under physiological conditions as the ionization state strongly affects
60 solubility at the application site. **AQ2**

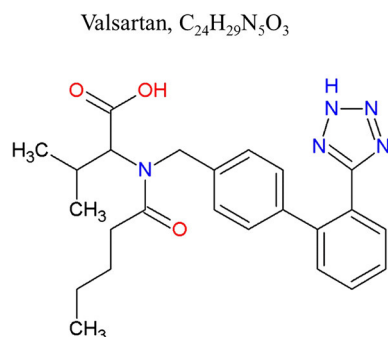
61 The dissociation constant pK_{ai} of the acid LH_j can be determined by a regression
62 analysis of potentiometric titration data also called the pH-metric analysis where the
63 common parameters (pK_{ai} , $i=1, \dots, j$) and the group parameters (E^0 , L_0 , H_T) are simul-
64 taneously refined. The non-linear regression programs for analysing potentiometric pH-
65 titration data, ESAB [8], SUPERQUAD and HYPERQUAD [9, 10], have been used. **AQ3**

66 Spectrophotometric UV-metric spectra analysis [11] is a highly sensitive and conven-
67 ient method for determining pK_a values in very dilute aqueous solutions since it requires
68 relatively simple equipment and can work with a sub-micromolar compound concentra-
69 tion (about 10^{-5} to 10^{-6} mol·dm $^{-3}$) [12–14].

70 The accuracy of theoretical predictions of pK_a from the molecular structure with the use
71 of two predictive programs ACD/Percepta [15–18] and MARVIN [19] was found to be the
72 best of all nine available programs [20–22].

73 The aim of our study was to carry out the regression analysis of the pH-absorbance
74 matrix with small absorbance changes in the spectra of Valsartan and also to carry out a
75 pH-metric potentiometric determination of the protonation model to find suitable condi-
76 tions for a reliable regression determination of all close consecutive dissociation constants
77 and to calculate the thermodynamic parameters such as the enthalpy, entropy and Gibbs
78 free energy.

Fig. 1 Structural formula of Valsartan



79 2 Computational Details

80 To implement equilibrium hard-modeling of spectrophotometric titration data, the analyst
81 must make a variety of crucial data processing choices that address negative absorbance
82 and molar absorptivity values. A detailed tutorial of UV–VIS pH-titration [23] also called
83 the UV-metric spectra analysis [11], and alternatively the pH-metric analysis have been
84 applied and were described previously in the ten steps procedure [23].

85 3 Experimental Section

86 3.1 Chemicals and Solutions

87 Valsartan was donated by ZENTIVA k. s., (Prague) had a declared purity, checked by a
88 HPLC and alkalimetrically, > 99%. This drug was weighed straight into a reaction vessel,
89 resulting in a concentration of about $1.0 \times 10^{-4} \text{ mol}\cdot\text{dm}^{-3}$.

90 Hydrochloric acid, $1 \text{ mol}\cdot\text{dm}^{-3}$, was prepared by diluting a concentrated
91 HCl (p. a., Lachema Brno) with redistilled water and standardization against
92 HgO and KI, with a reproducibility better than 0.002, according to the equation
93 $\text{HgO} + 4\text{KI} + \text{H}_2\text{O} \rightleftharpoons 2\text{KOH} + \text{K}_2[\text{HgI}_4]$ and $\text{KOH} + \text{HCl} \rightleftharpoons \text{KCl} + \text{H}_2\text{O}$. Potassium
94 hydroxide, $1 \text{ mol}\cdot\text{dm}^{-3}$, was prepared from the exact weight of pellets p.a., Aldrich Chemi-
95 cal Company with carbon-dioxide-free redistilled water kept for 50 min prior to use in an
96 ultrasonic bath. The solution was stored for several days in a polyethylene bottle under an
97 argon atmosphere. This solution was standardized against a solution of potassium hydro-
98 gen-phthalate using the derivative method with reproducibility 0.001.

99 Mercury oxide, potassium iodide and potassium chloride, p.a. Lachema Brno were not
100 extra purified. Twice-redistilled water, kept for 50 min prior to use in a sonographic bath,
101 was used in the preparation of solutions.

AQ4

102 3.2 Apparatus

103 The apparatus used and both titration procedures have been described in detail [23–27].
104 The free hydrogen-ion concentration $[\text{H}^+]$ was measured using a Hanna HI 3220 digital
105 voltmeter having a precision of ± 0.002 pH units, using the Theta HC 103-VFR combined
106 glass electrode. The potentiometric titrations of the drug with potassium hydroxide were
107 performed using a hydrogen activity scale. Standardization of the pH meter was performed
108 using WTW standard buffers values, 4.006 (4.024), 6.865 (6.841) and 9.180 (9.088) at
109 25°C and 37°C , respectively, in brackets.

110 The spectrophotometric multiple-wavelength pH-titration was carried out as follows:
111 an aqueous solution 20.00 cm^3 containing $10^{-5} \text{ mol}\cdot\text{dm}^{-3}$ drug, $0.100 \text{ mol}\cdot\text{dm}^{-3}$ hydro-
112 chloric acid and 10 cm^3 KCl solution, for adjustment of ionic strength, was titrated with
113 standard $1.0 \text{ mol}\cdot\text{dm}^{-3}$ KOH at 25°C and 37°C , respectively, and 80 absorption spectra
114 were recorded. Titrations were performed in a water-jacketed double-walled glass vessel
115 of 100 mL volume, closed with a Teflon bung containing the electrodes, an argon inlet, a
116 thermometer, a propeller stirrer and a capillary tip from a micro-burette. All pH measure-
117 ments were carried out at $25.0 \pm 0.1^\circ\text{C}$ and $37.0 \pm 0.1^\circ\text{C}$. When the drug was titrated,
118 a stream of argon gas was bubbled through the solution both to stir and to maintain an

119 inert atmosphere. The argon was passed through aqueous ionic medium by prior passage
120 through one or two vessels also containing the titrand medium before entering the corre-
121 sponding titrand solution. The burettes used were syringe micro-burettes of 1250 μL capac-
122 ity (META, Brno) with a 25.00 cm micrometer screw, [39]. The polyethylene capillary tip
123 of the micro-burette was immersed in the solution when adding reagent but pulled out after
124 each addition to avoid leakage of the reagent during the pH reading. The micro-burette
125 was calibrated by ten replicate determinations of the total volume of delivered water by
126 weighing on a Sartorius 1712 MP8 balance with results evaluated statistically, leading to a
127 precision of $\pm 0.015\%$ in the added volume over the whole volume range. The solution was
128 pumped into the cuvette and spectrophotometric measurement was performed with the use
129 of a Cintra 40 (GBC, Australia) spectrophotometer.

130 3.3 Software

131 An estimation of the dissociation constants was performed by the nonlinear regression
132 analysis of the UV-metric spectra analysis using SQUAD84 [13], REACTLAB [28] pro-
133 grams and of potentiometric pH-metric titration data using the ESAB program [8], and by
134 spectra interpretation using the INDICES program [29]. Most graphs were plotted using
135 ORIGIN 9.1, [30]. The programs ACD/Percepta [15] and MARVIN [19] for predictions of
136 $\text{p}K_{\text{a}}$ values were based on the structural formulae of drug compounds.

AQ5

137 4 Results

138 The methods of numerical analysis of pH-spectra and potentiometric pH-titration curves
139 have proven to be the best instrumental methods because they reliably determine even
140 close consecutive dissociation constants, also in case of poorly soluble drugs. The pH-
141 spectroscopic titration (the UV-metric method) has been used as an alternative method to
142 the potentiometric pH-titration (the pH-metric method) of dissociation constants with large
143 molar absorption coefficients due to its high sensitivity to the concentration of the sub-
144 stance, even at concentrations as low as $10^{-5} \text{ mol}\cdot\text{dm}^{-3}$.

145 4.1 UV-Metric Spectral Analysis

146 The experimental procedure and computational strategies for determining dissociation con-
147 stants by analysing the pH-absorbance matrix were described in the 10 steps procedure in
148 the previously published tutorial [23] and also on the page 226 of Ref. [31]. In addition
149 to determining the number of protonation equilibria, the number of differently protonated
150 species, the speciation diagram, and the graph of the molar absorption coefficients within
151 the range of measured wavelengths, statistical reliability criteria along with statistical tests
152 of the protonation model found should be included.

153 4.1.1 Step 1: Theoretically Predicted $\text{p}K_{\text{a}}$ of the Valsartan

154 The first step of data analysis was the prediction of dissociation constants, based on a
155 quantum-chemical calculation and concerned on the structural pattern of the studied drug's
156 molecule. Valsartan is a diprotic acid with carboxylic group and tetrazole ring (Fig. 1). The

157 prediction program MARVIN has identified two protonizable centers A and B for Valsartan, which could be theoretically associated with up to two predicted dissociation constants (Fig. 2). The prediction programs MARVIN, PALLAS and ACD/Percepta predicted 160 dissociation constants slightly different, so it was obvious that experimental determination 161 would offer the more reliable results.

162 4.1.2 Step 2: The Number of Light-Absorbing Species n_c

163 Before analysis of absorbance spectra, the raw data should be filtered using singular value 164 decomposition. This technique is based on the observation that, if a spectra dataset consists 165 of contributions from r absorbing chemical species, then the first r factors of the absorbance 166 matrix contain the vast majority of the chemical information obtained by the experiment. 167 The quantity r is referred to as the matrix rank, and in general, r should be less than 168 or equal to m , where m is the number of chemical species. Most simply, inspection of the 169 eigenvalues of the data matrix often reveals that the first factors have large significances 170 until a cutoff in the Cattell graph, after which the factors have small significances. These 171 latter factors may be taken to principally represent the spectrometer noise that nonetheless 172 contributes mathematically to the spectra. By removing these factors from the data, it may 173 fit the model to the chemical information with reduced noise from causes beyond chemical 174 equilibria and Beer's law for additive absorbance.

175 The Cattell index graph of singular values (Fig. 3) showed that the entire set of spectra 176 of Valsartan at the wavelengths of 240–305 nm was able to indicate three light absorbing 177 species in the mixture $n_c = k^* = 3$ with the experimental noise level $s_{\text{inst}}(A) = 1.0$ mAU, even 178 though the molar absorption coefficients of the first pair of species LH^- and LH_2 were 179 quite similar. The true number of light-absorbing species separated from spectral noise 180 could be correctly evaluated with the non-linear regression analysis.

181 4.1.3 Step 3: The Protonation Model Building and Testing

182 Using the program REACTLAB, nonlinear regression analysis was applied in the absorbance 183 spectra treatment, i.e. the application of the regression triplet method (data critique, 184 model critique and method critique), cf. Ref. [32, 33]. Finding the best hypothesis for a

Fig. 2 Molecular structure of Valsartan with highlighted protonation centers A and B and predicted $\text{p}K_a$ values using programs MARVIN, PALLAS and ACD/Percepta

Predicted $\text{p}K_{\text{pred}}$ of Valsartan with
MARVIN, PALLAS, ACD

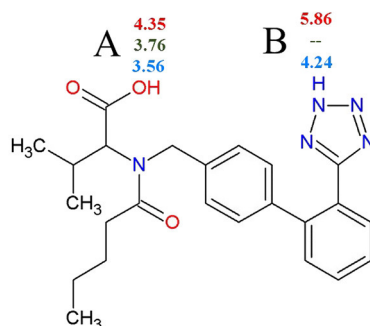
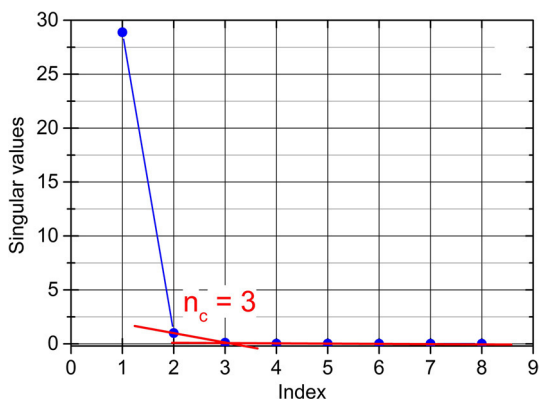


Fig. 3 Using cattel index graph with the residual standard deviation $s_r(A)$, the rank of the absorbance matrix is $k^*=3$ for Valsartan or the number of species is equal to $n_c=3$. (INDICES in S-PLUS), [29]



185 protonation model containing one, two or three dissociation constants is shown in the
 186 graphs of the molar absorption coefficients (Fig. 4a) and the distribution diagrams of all
 187 the differently protonated species (Fig. 4b) for the proposed hypothesis of the protonation
 188 model.

189 The design and building of the protonation model involves the decision-making process
 190 for accepting the calculated parameters with some statistical diagnostics for the proposed
 191 hypothesis of the protonation model. It has been shown that the building of the Valsartan
 192 protonation model was not an easy task because this drug had two close together, con-
 193 secutive dissociation constants ($|pK_{a2} - pK_{a1}| < 3$) as well as the fact that the pH slightly
 194 affected the changes in the absorbance values of chromophores. Both dissociation constants
 195 were ill-conditioned in a regression model and their determination was therefore uncertain.

196 The best criterion for testing a hypothesis in regression model building is the fitness test
 197 of the calculated spectra through the experimental points of the absorbance matrix, which
 198 could be often simplified to the standard deviation of the absorbance after a regression
 199 termination.

$$200 \quad s(A) = \sqrt{RSS/(n - m)} \quad (1)$$

201 where n is the number of experimental points and m is the number of estimated parameters.

202 In Table 1 the numerical estimates of dissociation constants, computed by the REACT-
 203 LAB regression program are reported: the residual mean $E|e|$ [mAU], residual standard
 204 deviation $s(e)$ [mAU] showed an excellent goodness-of-fit of calculated spectra through the
 205 experimental points of all spectra was achieved for the protonation model with two dissoci-
 206 ation constants. Reliability of calculated estimates of regression parameters can be advan-
 207 tageously tested by the following regression diagnostics (Table 1 and Fig. 4) as explained
 208 on page 226 in Ref. [31].

209 **4.1.3.1 Physical Significance of Parameter Estimates** In the left part of Fig. 4a, the spectra
 210 of the molar absorption coefficients of the differently protonated species, ϵ_L , ϵ_{LH} and ϵ_{LH2} of
 211 the Valsartan species versus wavelengths are shown. When the pair of ϵ curves seemed to be
 212 very similar, the model hypothesis could be uncertain or false.

213 **4.1.3.2 Physical Significance of Species Concentrations** The distribution diagram of the
 214 relative concentrations of all species (Fig. 4b) shows the protonation equilibria of the differ-

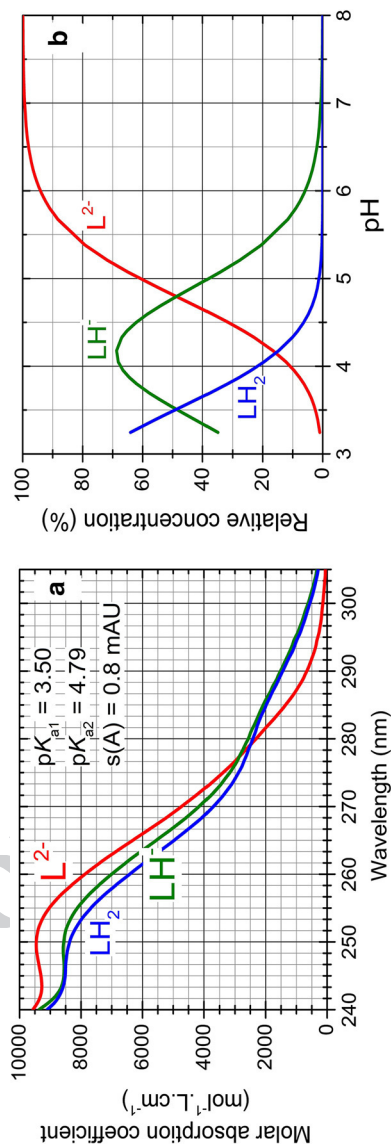


Fig. 4 Building and testing the best protonation model of Valsartan in the pH range from 3 to 8 for the two dissociation constants pK_{a1} and pK_{a2} with the spectra analysis of $1.0 \times 10^{-4} \text{ mol} \cdot \text{dm}^{-3}$ Valsartan at $I = 0.008 \text{ mol} \cdot \text{dm}^{-3}$ and $25 \text{ }^\circ\text{C}$. **a** Pure spectral profiles of molar absorption coefficients versus wavelength (nm) for three variously protonated ions of Valsartan. **b** The distribution diagram of the relative concentrations of three variously protonated species depending on pH, (REACTLAB, ORIGIN 9)

Table 1 The reproducibility of the best protonation model of Valsartan in the pH range from 12 to 3 for two dissociation constants pK_{a1} , pK_{a2} with REACTLAB at 25 and 37 °C

Reproducibility	25 °C					37 °C				
	1st set	2nd set	3rd set	4th set	Mean	1st set	2nd set	3rd set	4th set	Mean
Cattel's scree plot indicating the rank of the absorbance matrix (INDICES)										
Number of spectra measured, n_s	39	29	36	35		34	37	32	39	
Number of wavelengths, n_w	78	78	78	78		78	78	78	78	
Number of light-absorbing species, k^*	3	3	3	3		3	3	3	3	
Estimates of dissociation constants in the searched protonation model										
$pK_{a1} (s_1)$, $LH_2 \rightleftharpoons H^+ + LH^-$	REACTLAB	3.77(00)	3.66(01)	3.67(00)	3.71 ± 0.05	3.61(01)	3.63(01)	3.51(00)	3.47(00)	3.55 ± 0.08
$pK_{a2} (s_2)$, $LH^- \rightleftharpoons H^+ + L^{2-}$	REACTLAB	4.87(00)	4.84(00)	4.84(00)	4.83 ± 0.04	4.83(00)	4.80(00)	4.83(00)	4.74(00)	4.79 ± 0.04
Goodness-of-fit test with the statistical analysis of residuals										
Mean residual $E \epsilon $, (mAU)	REACTLAB	0.90	0.70	0.66	0.58	0.64	0.65	0.78	0.89	
Standard deviation of residuals $s(\epsilon)$, [mAU]	REACTLAB	1.17	0.91	0.83	0.75	0.83	0.82	1.04	1.12	
Sigma from REACTLAB, [mAU]	REACTLAB	1.19	0.92	0.84	0.76	0.84	0.83	1.05	1.13	

A solution of 1×10^{-4} mol·dm $^{-3}$ Valsartan at $I = 0.008$ mol·dm $^{-3}$, for n_s spectra measured at n_w wavelengths for $n_z = 2$, basic components L and H forms variously protonated species. The standard deviations of the parameter estimates are in the last valid digits in brackets. The resolution criterion and reliability of parameter estimates found are proven with goodness-of-fit statistics such as the mean residual $E | \epsilon |$ [mAU], the standard deviation of absorbance after termination of the regression process $s(\epsilon)$ [mAU], the sigma $s(A)$ [mAU] from REACTLAB

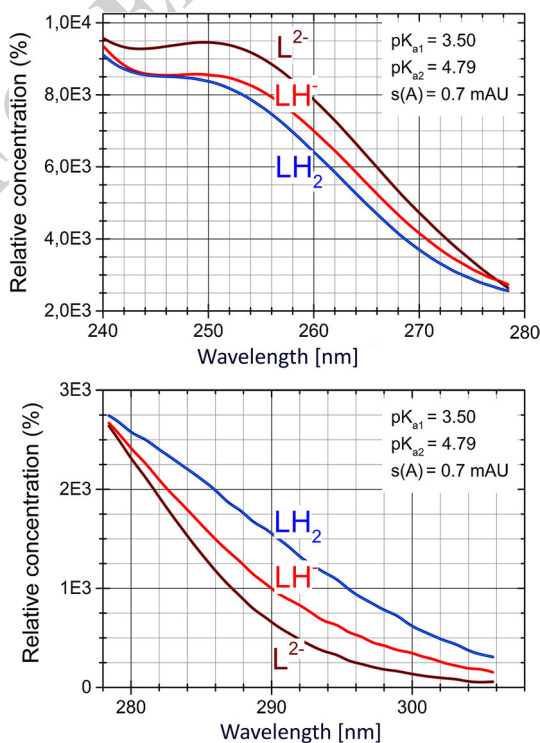
215 ently protonated species L^{2-} , LH^- , LH_2 . The graph shows that none of the species is minor
216 and all are of physical significance.

217 **4.1.3.3 The Goodness-of-Fit Test** The statistical measures of all residuals showed that the
218 minimum of the elliptic hyperparaboloid of the objective RSS function (Table 1) had been
219 reached because the residual mean $E|\bar{\epsilon}|$ [mAU] and the residual standard deviation $s(\hat{\epsilon})$
220 [mAU] reached very low values, <2 mAU, representing $<0.2\%$ of the measured absorb-
221 ance.

222 4.1.4 Step 4: The Effective Range of Wavelengths

223 Two ranges of wavelengths 240–278 nm and 278–308 nm were selected and the spectra
224 within these wavelength ranges were evaluated. Figure 5 illustrates the estimates of two
225 dissociation constants including the value of curve fitting expressed here as the standard
226 deviation of absorbance $s(A)$, which served as the reliability criterion of the parameter
227 estimates calculated. The best curve fitting with the fitting criterion $s(A)=0.7$ mAU was
228 achieved in the wavelength range 240–278 nm, although the estimates of the dissociation
229 constants were close in all three tested wavelength ranges. Likewise, the three distribution
230 diagrams of the relative concentration of variously protonated species in Fig. 5 were quite
231 similar.

Fig. 5 Search for an effective wavelength range to examine the position of ionizable groups and chromophores to find a sufficient absorbance change in spectrum for adjusted pH, which allows a reliable determination of dissociation constants. The protonation model of two dissociation constants was analyzed using two separate absorption bands. The best fitted spectra were achieved in the 240–280 nm range, although pK_a estimates were the same for all wavelength ranges



232 4.1.5 Step 5: The Absorbance Change in Spectra within pH Titration

233 Adjustment of pH did not cause the significant changes in the Valsartan spectrum every-
234 where, because some chromophores were only slightly affected by pH adjustment.
235 Figure 6a shows a spectrum of the molar absorption coefficients dependences on the
236 wavelength, for three selected wavelengths A through C, for which the A–pH curves are
237 displayed. Figure 6b through 6d in the A–C graphs showed a sensitivity of chromophores
238 in the Valsartan molecule to the pH, which was monitored in form of A–pH curves. The
239 maximum changes in absorbance occurs for pH changes at 251.1 nm and 266.5 nm (curves
240 A and B). The graphs show the estimates of dissociation constants and the presence of
241 differently protonated species. From these graphs it is also clear that the two dissociation
242 constants were very close and their estimation would be therefore difficult or, sometimes,
243 impossible. Each A–C graph in Fig. 6 also contains the plot of residuals. The quality of
244 the residuals reveals the degree of curve fitness of the calculated A–pH curves through the
245 experimental absorbance points. The residuals should oscillate around the zero and their
246 sign should change with frequent oscillations. The residuals should also exhibit a Gaussian
247 distribution with a mean value nearly equal to zero. For the best spectra fitting the stand-
248 ard deviation $s(A)$ is expected to be of the same size as the instrumental noise in absorb-
249 ance, $s_{\text{inst}}(A)$.

250 4.1.6 Step 6: The Signal-to-Error Ratio in Spectral Changes

251 In the spectrophotometric determination of the pK_a values of Valsartan, it was first nec-
252 essary to investigate whether the adjustment of pH would cause a sufficient absorbance
253 change in spectrum. It is evident from Fig. 7a, b that the spectral response of the Vals-
254 artan molecule is not the same everywhere and sufficient for both protonation equilibria,
255 so it had to be verified whether two dissociation constants could be estimated even with
256 the minimal changes in absorbance. The change for the i -th spectrum and the j -spectrum
257 absorption could be expressed by the difference relation $\Delta_{ij} = A_{ij} - A_i$. It was necessary to
258 investigate whether these small changes in, Δ , in the spectra were sufficiently large and
259 greater than the absorbance noise value, expressed here by $s_{\text{inst}}(A)$.

260 The changes of absorbance difference (mAU) in the spectra were therefore plot-
261 ted against the wavelength λ for all elements of the absorbance matrix (Fig. 7a) and this
262 showed that the absorbance change values were small, but they were still larger than the
263 instrumental noise in Fig. 7b. While residuals e in Fig. 7b were predominantly in the range
264 of -1.5 to $+1.5$ mAU, while the changes in absorbance difference in Fig. 7a were in the
265 range of -80 to $+120$ mAU.

266 4.1.7 Step 7: The Spectra Deconvolution (Shown in Supplementary Material)

267 The deconvolution of each experimental spectrum into the absorption bands of the indi-
268 vidual species showed whether the protonation hypothesis had been designed efficiently.
269 Figure S1 illustrates the deconvolution of six selected experimental spectra into absorption
270 bands from the differently protonated Valsartan species. At pH 3.25, the absorption band
271 of the species LH_2 , which was in equilibrium with the anion LH^- was still significant. The
272 pH range of 3.80 to pH 5.07 was very important, because here three species were in equi-
273 librium, namely LH_2 , LH^- and L^{2-} . At pH 5.38 and 5.80, the spectral band of the anion
274 LH^- decreased while band of L^{2-} increased.

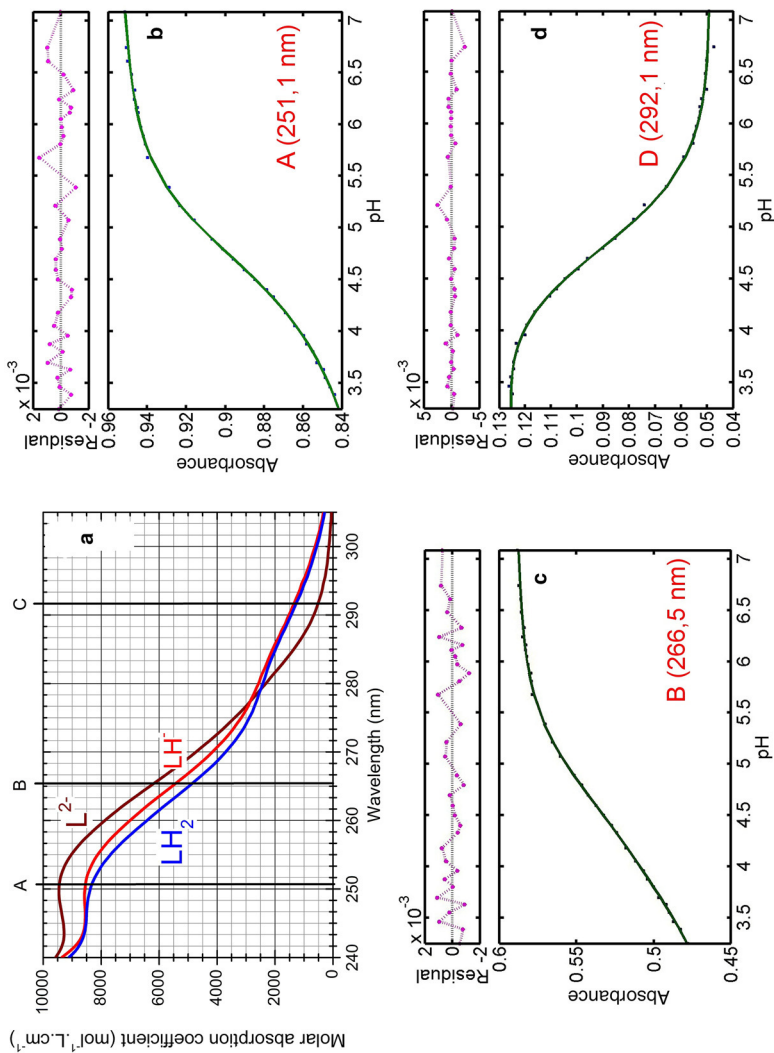
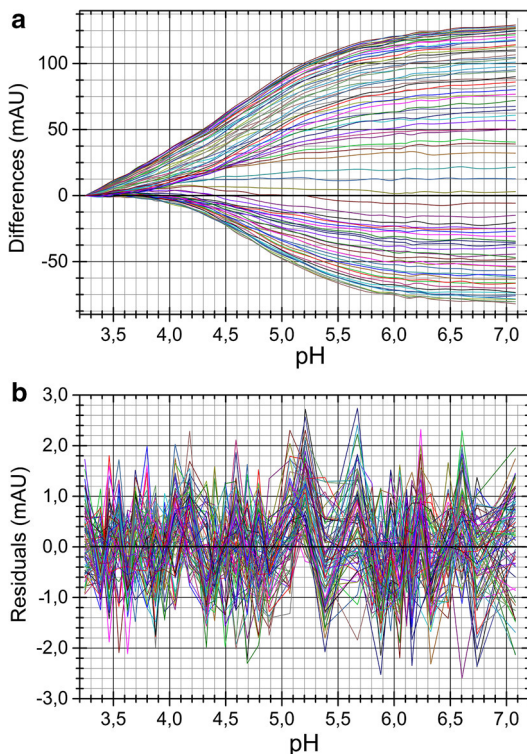


Fig. 6 The adjusted pH did not cause the same absorbance change in the Valsartan spectrum because some chromophores were only slightly affected by pH: **a** the spectrum of the molar absorption coefficient contains positions of four wavelengths A through C for which the A–pH curves were analyzed, **b–d** in the graphs A through C show the sensitivity of chromophores in the Valsartan molecule to pH

Fig. 7 Analysis of the change Δ_{ij} in absorbance spectra at adjusted pH: **a** the graph of the absorbance change difference Δ_{ij} in the Valsartan spectrum during the pH titration. **b** residuals e [mAU] show whether they were of the same size as the instrumental noise $s_{\text{inst}}(A)$, (REACTLAB, ORIGIN 9)



275 4.2 Analysis of pH-Metric Data (Shown in Supplementary Material)

276 The potentiometric titration of the alkalinized Valsartan with hydrochloric acid was carried
277 out at 25 °C and 37 °C (Table 2) and at adjusted ionic strength (Fig. S2). In the analysis of
278 pH-metric data, the initial estimate of each dissociation constant of Valsartan was refined
279 using the ESAB program (see Fig. S2).

280 4.2.1 Step 8: pH-Metric Data Analysed with the Bjerrum Formation Function

281 Valsartan had two dissociation constants and their refinement was carried out by the non-
282 linear regression of the pH-metric titration curve using the ESAB program. The nonlinear
283 regression analysis was applied to the central part of the pH-metric titration curve for the
284 deprotonated alkalinized with KOH Valsartan being titrated with hydrochloric acid (Fig. S2).
285 Estimates of two dissociation constants pK_{a1} and pK_{a2} were evaluated and plotted using the
286 curve of the Bjerrum formation function. At a higher concentration than 2×10^{-4} mol·dm⁻³,
287 a precipitate of Valsartan formed. Residuals were defined as the difference between the
288 experimental and the calculated volume of the titrant HCl, $e_i = V_{\text{exp},i} - V_{\text{calc},i}$. The reliability
289 test for the refined dissociation constants estimates was performed by the statistical analysis
290 of the residuals. By refining the group parameters, the statistics of the goodness-of-fit test
291 significantly improved. The relatively sensitive reliability criterion of the estimated dissoci-
292 ation constants was the average of the absolute values of the residuals $E | \bar{e} |$ [μL]. A com-
293 parison of the numerical value of this statistic with the instrumental noise represented here

Table 2 ESAB regression refinement of common and group parameters for a pH-metric titration of alkalinized 1×10^{-3} mol·dm $^{-3}$ Valsartan titrated with HCl: the estimated dissociation constants pK_{a1} , pK_{a2} of Valsartan when their standard deviations in last valid digit are in parentheses

Temperature	25 °C				37 °C			
	1st set	2nd set	3rd set	4th set	1st set	2nd set	3rd set	4th set
Reproducibility								
Estimates of the group parameters H_0 , H_T and L_0 in the searched protonation model								
Number of points (n)	29	28	29	28	26	24	24	26
$H_0 \times 100$ (mol·dm $^{-3}$)	5.53(00)	5.55(00)	5.51(00)	5.53(00)	5.49(00)	5.61(00)	5.59(00)	5.72(00)
H_T (mol·dm $^{-3}$)	1.0441	1.0441	1.0441	1.0441	1.0441	1.0441	1.0441	1.0441
$L_0 \times 1000$ (mol·dm $^{-3}$)	1.18(00)	1.21(00)	1.15(00)	1.24(00)	1.23(00)	1.27(00)	1.26(00)	1.51(00)
Estimates of the common parameters i.e. dissociation constants in the searched protonation model								
pK_{a1}	3.51(01)	3.51(02)	3.51(02)	3.53(02)	3.38(02)	3.36(03)	3.42(02)	3.37(02)
pK_{a2}	4.61(01)	4.61(01)	4.62(01)	4.59(02)	4.46(01)	4.58(02)	4.52(02)	4.56(01)
Goodness-of-fit test with the statistical analysis of residuals								
Arithmetic mean of residuals $E(\hat{\epsilon})$ (μL)	1.72×10^{-2}	1.07×10^{-2}	1.38×10^{-2}	1.43×10^{-2}	3.85×10^{-2}	-1.67×10^{-2}	6.67×10^{-2}	1.92×10^{-2}
Median of residuals M (μL)	0.70	0.50	0.60	0.90	0.80	1.00	0.60	0.70
Mean of absolute value of residuals, $E \hat{\epsilon} $ (μL)	0.71	0.69	0.74	0.98	0.79	0.70	0.85	0.86
Residual standard deviation, $s(\hat{\epsilon})$, (μL)	0.83	0.84	0.90	1.16	0.93	1.19	1.12	1.08
Residual standard deviation, s_{est} (%)	0.00	0.00	0.00	0.00	0.00	-0.00	0.00	0.00
Residual skewness $g_1(\hat{\epsilon})$	0.03	0.08	0.03	0.07	0.31	0.88	0.47	0.52
Residual kurtosis $g_2(\hat{\epsilon})$	1.96	2.22	2.31	1.96	1.83	2.88	3.13	3.04
Akaike-Information Criterion, AIC	-407.95	-393.46	-403.73	-375.20	-359.77	-319.66	-323.71	-351.93
Hamilton R-factor from ESAB (%)	0.07	0.07	0.08	0.11	0.08	0.11	0.10	0.10

The reliability of parameter estimation is proven with a goodness-of-fit statistics: the bias or arithmetic mean of residuals $E(\hat{\epsilon})$ (μL), the median (μL), the mean of absolute value of residuals, $E|\hat{\epsilon}|$ (μL), the standard deviation of residuals $s(\hat{\epsilon})$ (μL), the standard deviation of residuals $s_{\text{est}}(\hat{\epsilon})$ (%), the residual skewness $g_1(\hat{\epsilon})$ and the residual kurtosis $g_2(\hat{\epsilon})$ proving a Gaussian distribution, the Hamilton R-factor of relative fitness (%) from ESAB and the Akaike-Information Criterion AIC. Common parameters refined: pK_{a1} , pK_{a2} . Group parameters refined: H_0 , H_T , L_0 . Constants: $t = 25.0$ °C, $pK_w = 13.9799$, $s(V) = s_{\text{inst}}(V) = 0.1$ μL , I_0 adjusted (in vessel), $I_T = 1.04477$ (in burette HCl)

294 by the instrumental standard deviation of titrant HCl, $s_{\text{inst}}(V) = s(V) = 0.1 \mu\text{L}$, has proven an
295 excellent curve fitting, since the mean residual $E|\bar{e}|$ and the residual standard deviation
296 of titrant HCl $s(V)$ were equal or lower than the experimental noise, $s_{\text{inst}}(V)$. The values of
297 both monitored statistics here, $0.1 \mu\text{L}$, were similar to the instrumental error of the used
298 microburette $s(V) = 0.1 \mu\text{L}$. In addition, the residuals oscillate between the lower ($-0.2 \mu\text{L}$)
299 and the upper limit ($0.2 \mu\text{L}$) of the internal Hoaglin boundaries, and no residual residual
300 value was found outside these limits (see page 81, Ref. [33]). Estimates of dissociation
301 constants refined by the program ESAB were therefore proven to be sufficiently reliable
302 (Table 2). The curve fitting could be improved only by further refining the group parameter
303 L_0 , the concentration of the drug Valsartan in the titration vessel.

304 4.2.2 Step 9: Uncertainty of $\text{p}K_{\text{a}}$ in Reproduced Measurements (Shown 305 in Supplementary Material) (Fig. 3S)

306 The reproducibility of the dissociation constants evaluated with REACTLAB, from four
307 reproduced measurements was found to be in good agreement, as demonstrated in Table 1.
308 The interpretation would be as follows:

- 309 (a) An interval estimate of the mean value from four reproduced dissociation constants also
310 served here as the measure of uncertainty for each consecutive dissociation constant.
311 (b) At 37°C , the dissociation constant estimates were a little more acidic, i.e., they had
312 lower values of $\text{p}K_{\text{a}}$ than those estimates at 25°C .
313 (c) Very similar values of two consecutive dissociation constants $\text{p}K_{\text{a}1}$ and $\text{p}K_{\text{a}2}$ could
314 result in some difficulties in the minimization or could make the iterative refinement
315 fail. The reasons could be e.g. that an intermediate species was not present at a suf-
316 ficiently high concentration, or that the too close $\text{p}K_{\text{a}1}$ or $\text{p}K_{\text{a}2}$ value of one species was
317 highly correlated with the $\text{p}K_{\text{a}}$ value of another species, such those species would each
318 have much the same response to pH.
319 (d) When the normal equations were singular, one or more of the correlation coefficients
320 between two parameters $\text{p}K_{\text{a}1}$ and $\text{p}K_{\text{a}2}$ was equal to one or minus one so that the refine-
321 ment process could be terminated [32] (see Tables S1, S2).

322 4.2.3 Step 10: Thermodynamic Dissociation Constants

323 By applying the Debye–Hückel equation to the data from Tables 1 and 2, the unknown
324 parameters $\text{p}K_{\text{a}1}^{\text{T}}$ and $\text{p}K_{\text{a}2}^{\text{T}}$ were estimated at two temperatures of 25°C and 37°C
325 (Tables S1, S2). Due to the narrow range and low ionic strength values, which were
326 adjusted with KCl, two parameters, namely the ion-size parameter \bar{a} and the salting-out
327 coefficient C , could not be calculated. Figure 8 shows an extrapolation of the mixed disso-
328 ciation constants to zero ionic strength according to the Debye–Hückel limiting law for the
329 protonation model of two dissociation constants at 25°C and 37°C using straight lines with
330 the Working–Hotteling 95% confidence bands (cf. p. 474 in Ref. [34]) $\text{p}K_{\text{a}1}^{\text{T}} = 3.70 \pm 0.12$,
331 $\text{p}K_{\text{a}2}^{\text{T}} = 4.82 \pm 0.08$ at 25°C and $\text{p}K_{\text{a}1}^{\text{T}} = 3.44 \pm 0.08$, $\text{p}K_{\text{a}2}^{\text{T}} = 4.67 \pm 0.02$ at 37°C (spectro-
332 photometry) and $\text{p}K_{\text{a}1}^{\text{T}} = 3.51 \pm 0.00$, $\text{p}K_{\text{a}2}^{\text{T}} = 4.63 \pm 0.00$, at 25°C and $\text{p}K_{\text{a}1}^{\text{T}} = 3.44 \pm 0.03$,
333 $\text{p}K_{\text{a}2}^{\text{T}} = 4.51 \pm 0.03$ at 37°C (potentiometry).

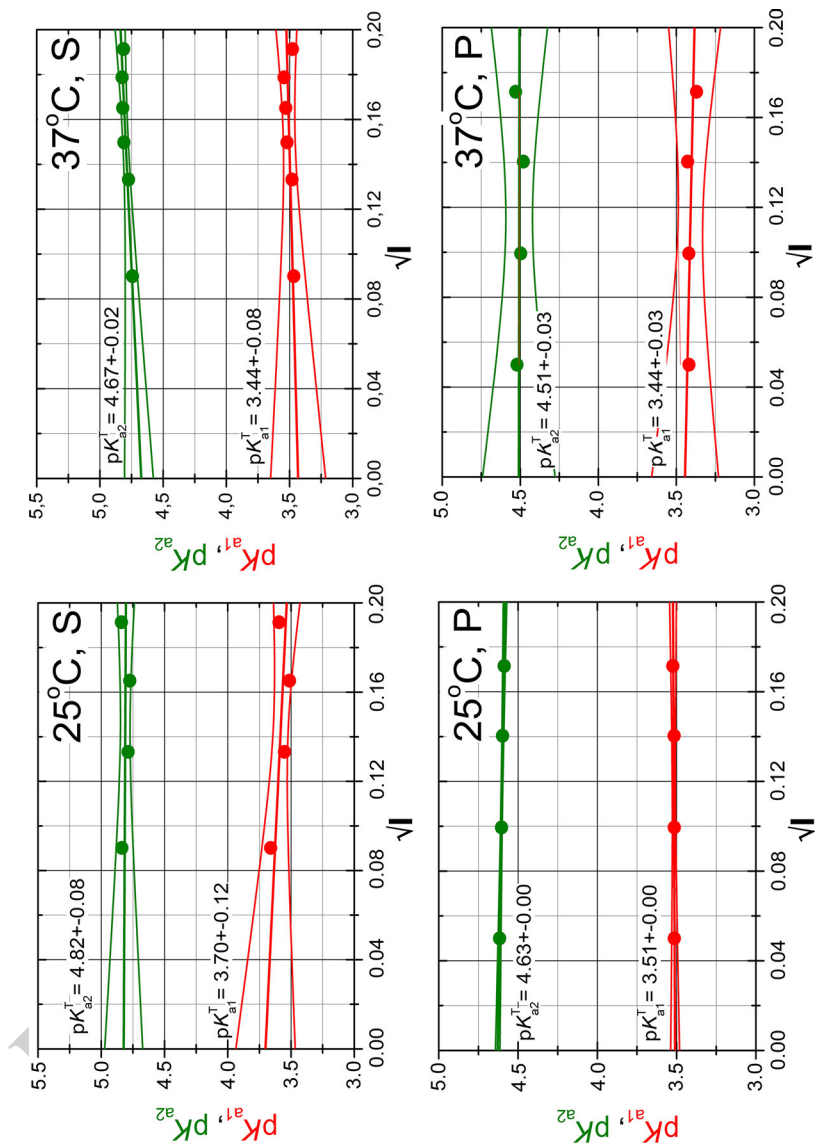


Fig. 8 Dependence of the mixed dissociation constants of Valsartan on the square-root of the ionic strength for the two dissociation constants leading to the thermodynamic dissociation constants pK_a^T at 25 °C and 37 °C using the UV-metric (S) and pH-metric techniques (P)

334 4.2.4 Step 11: Determination of Enthalpy, Entropy and Gibbs Free Energy 335 for the "Extrathermodynamics" of Dissociation (in Supplementary Material)

336 The standard state enthalpy change ΔH^0 of the dissociation process was calculated from
337 the van't Hoff equation

$$338 \quad d\ln K/dT = \Delta H^0/RT^2. \quad (2)$$

339 From the values of standard state Gibbs free energy

$$340 \quad \Delta G^0 = -RT\ln K \quad (3)$$

341 and ΔH^0 , the standard state entropy change

$$342 \quad \Delta S^0 = (\Delta H^0 - \Delta G^0)/T \quad (4)$$

343 can be calculated, where R (ideal gas constant) = $8.314 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$, K is the thermody-
344 namic dissociation constant and T is the absolute temperature.

345 The estimates of dissociation constants from the pH-metric method were
346 used for a calculation of some extra-thermodynamics. Positive enthalpy values
347 $\Delta H^0(\text{p}K_{a1}) = 10.33 \text{ kJ}\cdot\text{mol}^{-1}$, $\Delta H^0(\text{p}K_{a2}) = 17.70 \text{ kJ}\cdot\text{mol}^{-1}$ showed that the dissociation
348 process is accompanied by heat absorption. Positive value of the Gibbs free energy
349 changes were: $\Delta G^0(\text{p}K_{a1}) = 20.03 \text{ kJ}\cdot\text{mol}^{-1}$, $\Delta G^0(\text{p}K_{a2}) = 26.43 \text{ kJ}\cdot\text{mol}^{-1}$ at 25°C .
350 The entropy changes for the dissociation process, ΔS^0 at 25°C and 37°C were nega-
351 tive ($\Delta S^0(\text{p}K_{a1}) = -32.56 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$, $\Delta S^0(\text{p}K_{a2}) = -29.26 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ at 25°C and
352 $\Delta S^0(\text{p}K_{a1}) = -30.01 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ and $\Delta S^0(\text{p}K_{a2}) = -25.92 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ at 37°C).

353 5 Discussion

354 The REACTLAB regression program analyzed the pH-absorbance matrix of
355 $1 \times 10^{-4} \text{ mol}\cdot\text{dm}^{-3}$ Valsartan and quantified estimates of two dissociation constants with
356 different numerical approaches. The results of protonation/dissociation constant refinement
357 might include information concerning the goodness of fit of the residual-sum-of-squares
358 function RSS , the parameter estimates calculated, the standard deviations on parameters
359 and the correlation coefficients between them, the residuals map, the concentrations of all
360 the species in the model for all data points. The model selection [35] was the process of
361 deciding whether to accept the results. Usually all of the above factors should be taken into
362 account, since no one of them on its own was a reliable indicator of the success or failure
363 of the calculation.

364 The ESAB program, minimizing the residuals $e_i = V_{\text{exp},i} - V_{\text{calc},i}$ reached residual values
365 of about 0.1 or 0.2 μL , indicating an excellent curve fitting of the calculated titration curve
366 through experimental points. It could be stated that the reliability of dissociation constants
367 of Valsartan has been proven, although the group parameters L_0 and H_T were ill-condi-
368 tioned in the nonlinear regression model. The curve fitting showed sufficient reliability of
369 the estimates of both dissociation constants of Valsartan at 25 and 37°C .

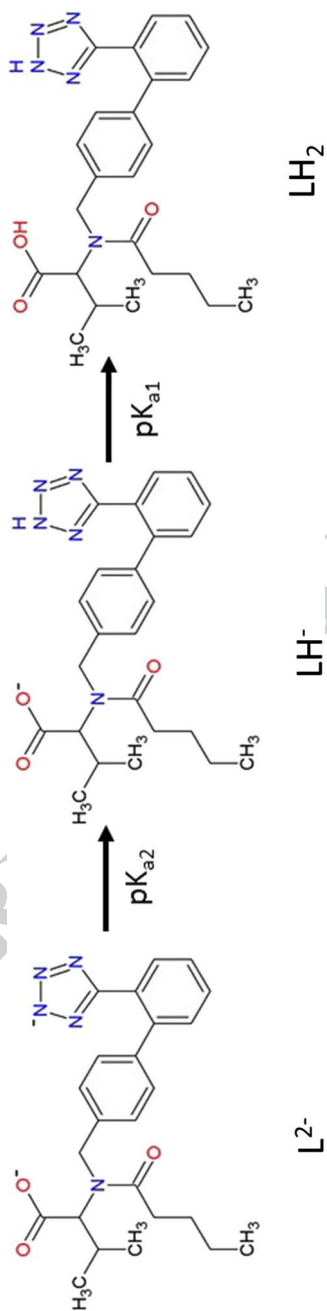
370 The inconsistency of the experimentally ascertained $\text{p}K_{ai}$ estimates and their theoretic-
371 ally predicted values could be due to the complicated structure of the heterocyclic nucleus
372 resonance, and consequently to different electron distributions, which might further lead to
373 different predicted $\text{p}K_{ai}$ values according to the structural formula of the molecule. In such
374 cases, the prognostic programs MARVIN, PALLAS and ACD/Percepta might fail, and the

375 dissociation constants would definitely need to be determined experimentally. Given that
376 the pK_{a_i} estimates from both potentiometric and spectrophotometric methods are similar
377 and, most importantly, plausible in terms of achieved fitting in data regression, it could be
378 concluded that the experimental results obtained were reliable and show the real dissociation
379 of the substance.

- 380 (1) When pK_a is positive, the standard free energy change ΔG^0 for the dissociation reaction
381 is also positive. The positive value of the ΔH^0 indicates that dissociation process
382 is endothermic and is accompanied by absorption of heat. The hydrogen bond rearrangement,
383 then, could underlie both ΔH^0 and ΔS^0 in drug-proton interactions and an interrelationship
384 between ΔH^0 and ΔS^0 seems plausible, indeed likely. The hydrogen bond as central to a drug-proton
385 interaction also is mechanistically appealing. In water, the hydrogen bonds form a network of continuous
386 chains that are dynamically changing (in a sort of steady state). Because of the dipole created by displacement
387 of the electron from the hydrogen proton, these chains form a sequence of mono- and di-poles that are
388 sensitive to the electrostatic potential of the drug and receptor molecules and provide a mechanism for
389 transmitting information at a distance from drug to receptor.
- 391 (2) The entropy contribution is mostly unfavourable ($\Delta S^0 < 0$) in these reactions. Ions in
392 an aqueous solution tend to orient the surrounding water molecules, which orders the solution and
393 decreases the entropy. The contribution of an ion to the entropy is the partial molar entropy which is
394 often negative, especially for small or highly charged ions. The ionization of an acid involves reversible
395 formation of two ions so that the entropy decreases ($\Delta S^0 < 0$). There are now two anions on the reversible
396 ionization so the entropy again only decreases.

398 6 Conclusion

- 399 (1) Spectrophotometric and potentiometric pH titration allowed the measurement of two
400 close dissociation constants of Valsartan (Scheme 1). Valsartan chromophores exhibited
401 minimal changes of absorbance in UV-Vis spectra when adjusting the pH of the solution, and therefore
402 estimates of dissociation constants were subject to greater uncertainty than from potentiometric determination.
403 For this reason, a more reliable estimation of the dissociation constants was obtained potentiometrically.
- 405 (2) Valsartan marked L^{-2} was capable of protonation in pure water to form soluble species LH_2 , LH^+
406 and L^{-2} . The graph of the molar absorption coefficients of differently protonated species in against the
407 wavelength indicated that the spectrum of ϵ_L , ϵ_{LH^+} , ϵ_{LH_2} were for species correlated, and that the values
408 in pairs were almost the same.
- 409 (3) It has been demonstrated that in the range of pH 2 to 7, two dissociation constants could be
410 reliably estimated from the spectra when the concentration of the sparingly soluble Valsartan was
411 1.0×10^{-4} mol-dm⁻³ or less. Although adjusted pH less affected the absorbance changes in chromophore,
412 two thermodynamic dissociation constants were reliably determined, with REACTLAB reaching values of
413 $pK_{a1}^T = 3.70 \pm 0.12$, $pK_{a2}^T = 4.82 \pm 0.08$ at 25 °C and $pK_{a1}^T = 3.44 \pm 0.08$, $pK_{a2}^T = 4.67 \pm 0.02$ at 37 °C.
- 415 (4) The two thermodynamic dissociation constants of Valsartan were determined by regression
416 analysis of potentiometric pH-titration curves at a concentration of



Scheme 1 Protonation scheme of Valsartan

- 417 1×10^{-3} mol. dm⁻³ with ESAB, $pK_{a1}^T = 3.51 \pm 0.00$, $pK_{a2}^T = 4.63 \pm 0.00$, at 25 °C and
418 $pK_{a1}^T = 3.44 \pm 0.03$, $pK_{a2}^T = 4.51 \pm 0.03$ at 37 °C.
- 419 (5) Prediction of the dissociation constants of Valsartan was performed by the programs
420 MARVIN, PALLAS and ACD/Percepta to determine protonation sites. When compar-
421 ing three predictive and two experimental techniques, prognostic programs sometimes
422 differed in the pK_a estimate.
- 423 (6) Thermodynamic parameters ΔH^0 and ΔG^0 were calculated from the temperature change
424 of dissociation constants according to the van't Hoff equation. Positive enthalpy values
425 $\Delta H^0(pK_{a1}) = 10.33$ kJ·mol⁻¹, $\Delta H^0(pK_{a2}) = 17.70$ kJ·mol⁻¹ showed that the dissociation
426 process was endothermic and is accompanied by heat absorption. Positive value of
427 the Gibbs free energy were $\Delta G^0(pK_{a1}) = 20.03$ kJ·mol⁻¹, $\Delta G^0(pK_{a2}) = 26.43$ kJ·mol⁻¹
428 at 25 °C. The standard state entropies of dissociation, ΔS^0 , at 25 °C and 37 °C were
429 negative ($\Delta S^0(pK_{a1}) = -32.56$ J·K⁻¹·mol⁻¹, $\Delta S^0(pK_{a2}) = -29.26$ J·K⁻¹·mol⁻¹ at 25 °C
430 and $\Delta S^0(pK_{a1}) = -30.01$ J·K⁻¹·mol⁻¹, $\Delta S^0(pK_{a2}) = -25.92$ J·K⁻¹·mol⁻¹ at 37 °C.

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